

## FORMALITIES LETTER

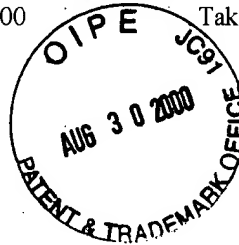


\*OC00000005341076\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,965	06/30/2000	Takahisa Kurahashi	1883-36

Nixon & Vanderhye PC  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201



Date Mailed: 08/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/31/2000 BHABTEW 00000062 09607065

01 FC:101 690.00 OP  
02 FC:105 130.00 OP  
03 FC:581 40.00 OP  
Adjustment date: 09/25/2002 AKELLEY  
08/31/2000 BHABTEW 00000062 09607065  
01 FC:101 -690.00 OP  
02 FC:105 -130.00 OP  
03 FC:581 -40.00 OP

09/25/2002 AKELLEY 00000029 09607965  
690.00 OP  
130.00 OP  
40.00 OP

01 FC:101  
02 FC:105  
03 FC:581

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTOR

In re Patent Application of:

KURAHASHI et al

Serial No. ~~09/607,065~~ 09/607,965

Filed: June 30, 2000

For: SEMICONDUCTOR LIGHT EMITTING ELEMENT

Attention: Application Branch

Atty. Dkt. 1883-36

Date: August 30, 2000

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record and return the attached assignment.  
☒ Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:

**Application Nos.**  
11-236619

**Country**  
Japan

**Filing Date**  
24 August 1999

respectively.

- ☐ This application is based on the following prior provisional application(s):

**Application No.**

**Filing Date**

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☒ already filed on June 30, 2000.

In U.S. Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

- ☐ The undersigned verifies that the above-identified application is identical to: \_\_\_\_\_ filed \_\_\_\_\_, as amended on \_\_\_\_\_  
☐ Verified Statement attached establishing "small entity" status (Rules 9 & 27)  
☐ Also attached:

**Fees are attached as calculated below:**

Basic filing fee					\$	690.00
Total Effective claims	10	- 20 =	0	x \$	18.00	\$ 0.00
Independent claims	1	- 3 =	0	x \$	78.00	\$ 0.00
If any proper multiple dependent claims now added for first time, add \$260.00 (ignore improper)						\$ 0.00
<b>FILING FEE</b>						<b>\$ 690.00</b>
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$380.00/2 months; \$870.00/3 months; \$1360.00/4 months)						\$ 0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted						\$ 130.00
<b>FIRST SUBTOTAL</b>						<b>\$ 820.00</b>
If "small entity," enter half (1/2) of subtotal and subtract						-\$ 0.00
<b>SECOND SUBTOTAL</b>						<b>\$ 820.00</b>
Assignment Recording Fee (\$40.00)						\$ 40.00
<b>TOTAL FEE ENCLOSED</b>						<b>\$ 860.00</b>

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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HWB:lsb

**NIXON & VANDERHYE P.C.**

By Atty: H. Warren Burnam, Jr., Reg. No. 29,366

Signature: H. Warren Burnam, Jr.